

**Alaska Department of Commerce, Community, and Economic Development**

Division of Corporations, Business, and Professional Licensing  
PO Box 110806, Juneau, AK 99811-0806

This is to certify that

**MONEX INC.**

1201 NEW YORK AVE NW STE 300, WASHINGTON, DC 20005

owned by

MONEX INC.

is licensed by the department to conduct business for the period

December 15, 2021 to December 31, 2023  
for the following line(s) of business:

52 - Finance and Insurance

This license shall not be taken as permission to do business in the state without having complied with the other requirements of the laws of the State or of the United States.

This license must be posted in a conspicuous place at the business location.  
It is not transferable or assignable.

Julie Anderson  
Commissioner



**Alaska Department of Commerce, Community, and Economic Development**

Division of Corporations, Business, and Professional Licensing  
PO Box 110806, Juneau, AK 99811-0806

This is to certify that

**MONEX USA**

1201 NEW YORK AVE NW STE 300, WASHINGTON, DC 20005

owned by

MONEX INC.

is licensed by the department to conduct business for the period

December 21, 2021 to December 31, 2023  
for the following line(s) of business:

52 - Finance and Insurance

This license shall not be taken as permission to do business in the state without having complied with the other requirements of the laws of the State or of the United States.

This license must be posted in a conspicuous place at the business location.  
It is not transferable or assignable.

Julie Anderson  
Commissioner



**Money Transmitter No. 2423**

**STATE OF CALIFORNIA**  
**DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION**  
**LICENSE**

The Commissioner of the Department of Financial Protection and Innovation hereby licenses Monex Inc., a District of Columbia Corporation, to engage in the business of money transmission in accordance with Division 1.2 (The Money Transmission Act) of the California Financial Code (commencing with Section 2000).

Date: January 25, 2022



**Clothilde V. Hewlett**  
Commissioner  
Department of Financial Protection and Innovation



## STATE OF COLORADO

### COLORADO DIVISION OF BANKING

#### MONEY TRANSMITTER RENEWAL CERTIFICATE

LICENSE No. 500161

THIS IS TO CERTIFY THAT MONEX INC., WASHINGTON, DISTRICT OF COLUMBIA, HAS RENEWED ITS CURRENT LICENSE TO ENGAGE IN THE BUSINESS OF MONEY TRANSMISSION IN ACCORDANCE WITH AND SUBJECT TO THE PROVISIONS OF THE COLORADO MONEY TRANSMITTERS ACT, TITLE 11, ARTICLE 110, COLORADO REVISED STATUTES, FROM JANUARY 1 TO DECEMBER 31, 2022, UNLESS THE ORIGINATING LICENSE IS OTHERWISE SURRENDERED, SUSPENDED, OR REVOKED.

IN TESTIMONY WHEREOF, I HAVE HEREUNTO SET MY HAND AND AFFIXED THE OFFICIAL SEAL OF THE STATE BANK COMMISSIONER, IN THE CITY AND COUNTY OF DENVER, COLORADO, THIS THIRTY-FIRST DAY OF DECEMBER, 2021.



*Kenneth Boldt*

KENNETH BOLDT  
STATE BANK COMMISSIONER

IN THE NAME AND BY THE AUTHORITY OF THE



**DEPARTMENT OF FINANCIAL INSTITUTIONS**

**MONEY TRANSMITTERS LICENSE NO. SC20648**

Be it known that the Department of Financial Institutions, in conformity with the provisions of Chapter 286.11, of the Kentucky Revised Statutes, find that

**MONEX INC.**

**d/b/a MONEX USA**

**1201 NEW YORK AVENUE, NW  
SUITE 300  
WASHINGTON, DC 20005**

is duly qualified to transmit money, has submitted the proper application documents, and has obtained a surety bond in the proper amount, all in conformity with the terms of Chapter 286.11. Accordingly, I hereby grant this license to transmit money as provided by law.

This license effective July 06, 2007 , shall remain in full force and effect until surrendered by the licensee; or is suspended or revoked by an order issued by the Commissioner of Financial Institutions.



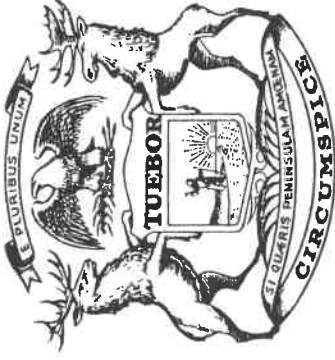
In testimony whereof, witness my hand and official seal,  
done in the City of Frankfort, Kentucky on January 05, 2022

A handwritten signature in black ink, appearing to read "Chia A. V...".

Commissioner of Financial Institutions

# State of Michigan

This certificate is issued to give effect to the name change,



License No. MT 0015831

## Department of Insurance and Financial Services Lansing, Michigan

*This is to certify that, effective January 07, 2022*

*MONEX INC.*

*dba MONEX USA*

*1201 New York Avenue Northwest Suite 300*

*Washington, DC 20005*

is hereby duly LICENSED in the State of Michigan in accordance with the provisions of Act No. 250, Public Acts of 2006, the Money Transmission Services Act. This License is not transferable or assignable.



Anita G. Fox, Director

January 07, 2022

Date

This certificate shall be conspicuously displayed in the place of business specified herein.

# STATE OF MINNESOTA



## DEPARTMENT OF COMMERCE

The Undersigned  
**COMMISSIONER OF COMMERCE**  
for the State of Minnesota  
hereby certifies that

Monex Inc. dba Monex USA

has made application, paid the fees required and in all other respects complied with the laws of the State of Minnesota and is hereby authorized to transact the business of money transmitter,

at: 1201 New York Avenue, NW, Suite 300, Washington, DC 20005  
unless this authority be suspended, revoked, or otherwise legally terminated. This certificate shall be in effect until December 31, 2022.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the official seal of the Department of Commerce, of the State of Minnesota at my office in the City of St. Paul, Minnesota, this 18th day of January 2022.



Maxwell Zappia, Deputy Commissioner



MO-22-7711  
Amended Renewal

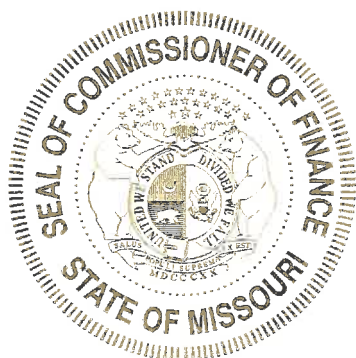
**CERTIFICATE OF REGISTRATION  
SALE OF CHECKS LAW**

*This is to Certify*, that Monex Inc. dba Monex USA, having complied with the provisions of Chapter 361, Revised Statutes of Missouri, by this certificate is authorized and empowered to conduct a sale of checks business in the State of Missouri, under the name of

**Monex Inc. dba Monex USA**

subject to revocation as provided by law. This certificate cannot be assigned and is for a period beginning on the 16th day of April, 2021 and ending with the 15<sup>th</sup> day of April, 2022.

*In Witness Whereof*, I have hereunto set my hand and affixed the seal of my office, at the City of Jefferson, County of Cole, and State of Missouri.

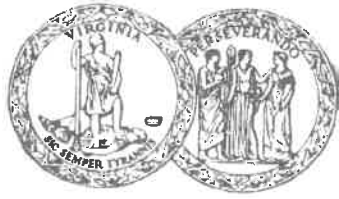


A handwritten signature in black ink, appearing to read 'Rob Barrett', is written above a horizontal line.

Rob Barrett, Commissioner



COMMONWEALTH OF VIRGINIA



STATE CORPORATION COMMISSION  
BUREAU OF FINANCIAL INSTITUTIONS

LICENSE NO. MO-259

THIS IS TO CERTIFY THAT:

MONEX INC.

having met the requirements of law, is authorized to engage in the business of money transmission, under the provisions of Chapter 19 of Title 6.2 of the Code of Virginia, at or in:

1201 New York Avenue, NW, Suite 300, Washington, District of Columbia

IN WITNESS WHEREOF, I have set my hand this fifteenth

day of December, 2021, at *Richmond, Virginia.*

A handwritten signature in black ink, appearing to read "E. J. Face, Jr.", written over a horizontal line.

*E. J. Face, Jr., Commissioner of Financial Institutions*

**THIS LICENSE IS NOT TRANSFERABLE OR ASSIGNABLE**

This license is to be displayed conspicuously where business is transacted

# State of Wisconsin

## DEPARTMENT OF FINANCIAL INSTITUTIONS

**This License Must Be Conspicuously Posted In the Public Office**

**Monex Inc  
DBA Monex USA**

**having complied with the requirements set forth under Chapter 217 of the Wisconsin Statutes, is hereby licensed to engage in business as a**

**Seller of Checks**

**and may authorize its agents to sell its checks and receive money for transmission in accordance with and subject to the provisions of said Chapter 217. The records relating to Wisconsin operations are maintained at:**

**1201 New York Ave NW Ste 300  
Washington, DC**

**This license cannot be assigned or transferred and continues in effect until terminated as provided in Chapter 217.**

**License No. 171**

**Effective Date: December 15, 2021**



*Kim Swindorf*

# State of Wyoming



AT - 7169

## Money Transmitter License

This is to certify that

**Monex Inc.**

**1201 New York Avenue, NW**

**Washington, DC**

Having complied with the provisions of Wyoming Statutes 40-22-103 through 40-22-109 is hereby authorized and licensed to engage in business as a **MONEY TRANSMITTER** in accordance with and subject to Wyoming Statutes 40-22-101 to 40-22-129. This license shall continue in full force until the license has expired pursuant to Wyoming Statute 40-22-111; or it is surrendered, revoked or suspended pursuant to Wyoming Statute 40-22-120.

NOT TRANSFERABLE

**In witness whereof**, I have caused this license to be issued and hereunto set my hand and official seal this **15th Day of December, 2021**.



A handwritten signature in blue ink, reading "Joe Mulberry".

Joe Mulberry, Interim Commissioner  
Department of Audit, Division of Banking